Washington, D. C. 20231

Sir.

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Hwan JEONG and Xing CHENG Inventor(s) OPTICAL INTERLEAVING WITH ENHANCED SPECTRAL

> RESPONSE AND REDUCED POLARIZATION SENSITIVITY Title of Invention

#### APPLICATION ELEMENTS ENCLOSED:

- 1. X Specification (total pages 30 including:
  - 9 pages of claims (47 claims)
  - 1 page(s) Abstract
- 2. X 10 sheets of \_\_\_informal \_X\_formal drawings (Figs. 1-11)
- 3. X Oath or declaration of Applicant(s) ( 3 total pages)
  - \_X\_ Newly executed (original)
  - b. Unexecuted
  - Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Item 14 completed)

Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

Incorporation By Reference

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Microfiche Computer Program (Appendix)

#### APPLICATION PARTS ENCLOSED:

- 7. X An assignment of the invention to Gazillion Bits, Inc. (cover sheet & document(s))
- 8.\_\_\_ 37 CFR 3.73(b) Statement (when there is an assignee)
- 9. X Power of Attorney
  - \_\_X\_ Newly executed (original)
  - Unexecuted b.
  - Copy from a prior application

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0X_	Information Disclosure Statement (IDS)/PTO-1449
	_X_ Copies of IDS Citations
11	A Preliminary Amendment
12. <u>X</u>	Return Receipt Postcard (MPEP 503)
13	A small entity statement under 37 C.F.R. §1.9 and §1.27
	Statement filed in prior application, status still proper and desired
14	If a Continuing Application, check the appropriate box and supply the requisite information:
	Continuation of prior application No.:filed
	Divisional of prior application No.:filed
	Continuation-in-part (CIP) of prior application No.:filed
15	Amend the specification by inserting before the first line of page one: -This is acontinuation
	divisional of application Serial Nofiled
16	Priority of application Nofiled inon Applicant hereby claims priority
	under 35 U.S.C. § 119.
	A certified copy of priority application Nois enclosed
	A certified copy of priority application Nohas been filed in prior application
	S.N filed
17	Other (identify)

The filing fee is calculated as follows:

# CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Ra	te		F	ee
	Number		Number		Small	Other		Small	Other
	Filed		Extra*		Entity	Entity		Entity	Entity
Total	47 - 20	=	27	×	\$9	\$ 18	=	\$0	\$ 486
Claims									
Indep.	5 - 3	=	2	x	\$ 40	\$ 80	=	\$0	\$ 160
Claims									
Multiple Dependent					\$135	\$270	=	\$0	\$ 0
Claims Presented: Yes No_X_									
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 1,356

- Letter of Transmittal Page 3
  - 18. X A check in the amount of \$ 1.356.00 to cover the filing fee.
  - 19. X A check in the amount of \$40.00 for recordation of the Assignment.
  - 20. X. The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. <u>03-3125</u>.
    - X Filing fees under 37 C.F.R. § 1.16.
    - \_X Patent application processing fees under 37 C.F.R. §1.17.
    - The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
  - 21. X Three copies of this sheet are enclosed.
  - 22.\_\_\_ Other (identify) \_\_\_\_\_\_

### CORRESPONDENCE ADDRESS:

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Respectfully submitted,

January 19, 2001 Date

Ivan S. Kavrukov, Reg. No. 25,161 Attorney for Applicants c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

Tel.: (212) 278-0400



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Hwan JEONG, et al.

Serial No.

Group Art Unit:

\_\_\_\_\_

Concurrently Herewith

Examiner:

For

OPTICAL INTERLEAVING WITH ENHANCED SPECTRAL

RESPONSE AND REDUCED POLARIZATION SENSITIVITY

1185 Avenue of the Americas New York, N.Y. 10036

Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231

# EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628788933US

Date of Deposit: January 19, 2001

I hereby certify that the above-identified application (consisting of a 30-page specification (including 9 pages of claims (47 claims) and a 1 page Abstract), and 10 sheets of formal drawings (Figs. 1-11)), 3 copies of an Application Transmittal Form, executed Declaration and Power of Attorney, executed Assignment form and attached form PTO-1595 Recordation Cover Sheet and a ck. for \$40 recordation fee, Information Disclosure Statement, Form PTO-1449 and copies of the cited information and a check for the \$1,356 filling fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Mail Room Employee Depositing Express Mail Material

Attorney for Applicant:

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